

PREPARATION OF (R)-VERATRYL- AND (R)-(3-METHOXYBENZYL)SUCCINATES

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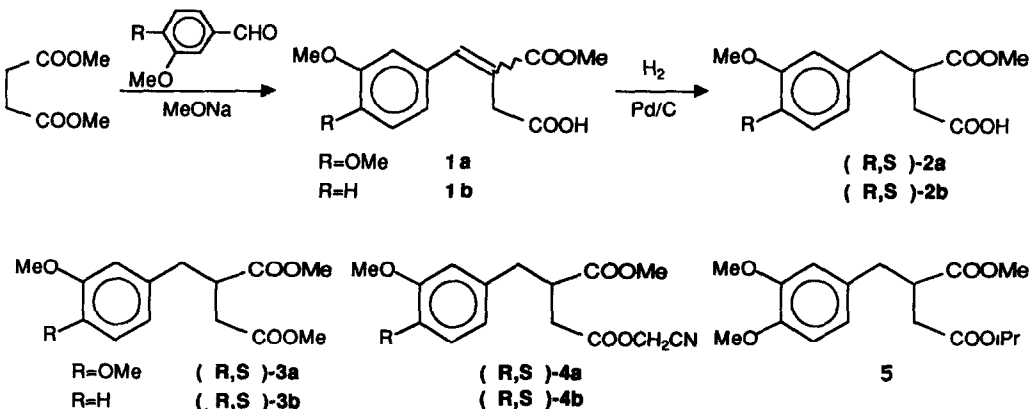
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Abstract: LP-catalyzed hydrolyses of 4-cyanomethyl 1-methyl veratryl- and (3-methoxybenzyl)succinates lead in high optical yield to the corresponding (R)-butanoic acids. HLE-catalyzed hydrolyses of various methyl and cyanomethyl veratrylsuccinates lead to mixtures of propanoic and butanoic acids with medium enantioselectivity

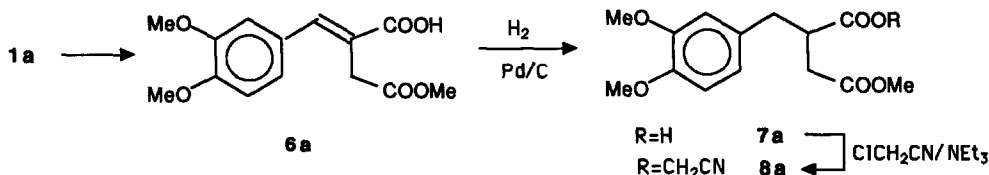
We have reported previously that optically active methyl- and benzylsuccinates could be obtained by a Pig pancreatic lipase (PPL)-catalyzed hydrolysis of their racemates ¹ Since optically active (R)-veratryl-, (R)-(3-methoxybenzyl)succinates and 3-aryl- γ -butyrolactones are useful intermediates for the preparation of lignans and alkaloids ² we have tried the enzymatic resolution of the corresponding racemic succinates Up to now (S) and (R)-veratrylsuccinic acids 1-methyl ester have been obtained by crystallisation of their salts with (S)- or (R)- α -methylbenzylamine^{3a} and (S)-2-veratrylsuccinic acid has been synthesized by asymmetric hydrogenation of veratrylidenesuccinic acid using a ruthenium-BINAP complex^{3b} Optically active (3-methoxybenzyl)succinates derivatives are still unknown, however (R)-(+)-3-(3-methoxybenzyl)- γ -butyrolactone has been obtained by tedious procedures ^{2c, 4}

Preparation of the racemic substrates.

Racemic esters **3a,b** and **4a,b** have been prepared by methylation (MeOH, H⁺) and cyanomethylation (ClCH₂CN, Et₃N) of hemiesters **2a** and **2b** obtained by a previously described sequence ^{2a} using Stobbe condensation of 3-methoxy- and 3,4-dimethoxybenzaldehydes with dimethyl succinate followed by a palladium-catalyzed hydrogenation of the unsaturated esters **1a** and **1b**. Isopropyl methyl diester **5** was obtained by reaction of hemiester **2a** with diazopropane⁵

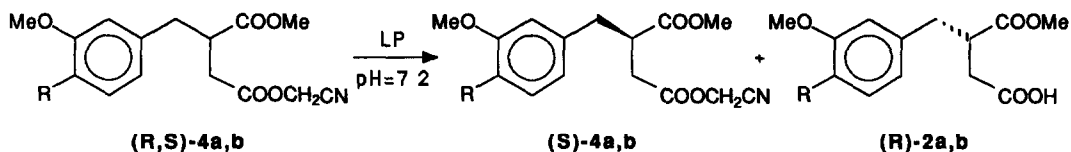


Transformation⁶ of hemiester **1a** into its regioisomer **6a** allowed the preparation of the mixed ester **8a** via the hemiacid **7a**



Lipase-catalyzed hydrolyses of esters **3a** and **4a,b**

We found that ester **3a** was very poor substrate of PPL, α -chymotrypsin, lipase Amano P from *Pseudomonas* sp (LP) and *Candida cylindracea* lipase (CCL). However cyanomethyl esters **4a,b** underwent a fast hydrolysis in the presence of LP. These results are in agreement with the increased hydrolysis rate observed by Sih and al⁷ in the lipase-catalyzed reactions of cyanomethyl esters. For less than 50% hydrolysis at pH=7.2 (pH stat technique) the acids (**R**)-**2a,b** were isolated with excellent enantiomeric excesses (ee's) and the remaining esters (**S**)-**4a,b** were obtained with 65% ee.⁸ Our results are reported in table 1. The enantiomeric excess of



butanoic acid (**R**)-**2b** was improved after another cyanomethylation followed by a second LP-catalyzed hydrolysis. This acid was isolated with an ee > 95% after 80% conversion.

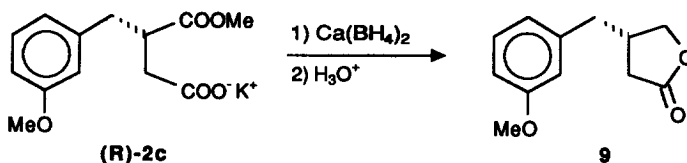
With PPL, only ester **4b**, unsubstituted at the para position of the aromatic ring, was substrate, but the hydrolysis rate and the ee of the products are lower than those observed with LP.

The ee and absolute configuration of compound (**R**)-**2a** were determined by comparison of its $[\alpha]_D$ with the value of the literature (lit^{3a} $[\alpha]_D = +27^\circ$ (c=1.2, EtOH)). The ee was confirmed after reaction with diazomethane, by ¹H-NMR of the dimethyl ester in the presence of (+)-tris[3-(heptafluoropropyl)hydroxymethylene] camphorato] europium (III) (Eu(hfc)₃).

Substrat	Reaction conditions			Esters (S)- 4a, b				Acids (R)- 2a, b			
	Enzyme	Time %	Conversion %	Yield %	ee	$[\alpha]_D^a$	Conf. %	Yield %	ee	$[\alpha]_D^a$	Conf
4a	LP	5.0	42	55	65	-5.0°	S	40	>95	+25.6°	R
4b	LP	4.0	47	42	68	-4.7°	S	35	82	+22.0°	R
4a	PPL	b									
4b	PPL	8.5	36	60	35	-2.2°	S	30	75	+20.6°	R

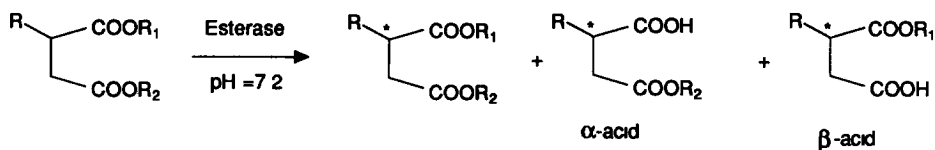
a) c=2, THF b) no hydrolysis after 2 hours.

Optically pure butanoic acid (**R**)-**2a** was cyanomethylated without racemization (ClCH_2CN , $\text{NEt}_3, \text{CH}_2\text{Cl}_2$) to give (**R**)-**4a** the antipodal isomer of (**S**)-**4a** ($[\alpha]_D = +7.7^\circ$, $c=2$, THF)⁹ The resulting $[\alpha]_D$ allowed to calculate (**S**)-**4a**'s ee¹⁰ The enantiomeric excesses of esters (**S**)-**4b** were determined by $^1\text{H-NMR}$ in the presence of $\text{Eu}(\text{hfc})_3$ and those of the corresponding acid (**R**)-**2b** by the same method after transformation into its dimethyl ester (CH_2N_2) The absolute configuration of these monomethoxy derivatives were determined by chemical correlation butanoic acid (**R**)-**2b** (ee=82%) was reduced, via its potassium salt (**R**)-**2c**, by calcium borohydride **2a** and lactonized in acidic conditions into the known (**R**)-(+)-3-(3-methoxybenzyl)- γ -butyrolactone **9** (yield=80%) ($[\alpha]_D = +5.1^\circ$, $c=2$, CHCl_3 , ee=82%) This preparation of optically active lactone **9** appears to be easier than those already published **2c**, **4**



Esterase-catalyzed hydrolyses.

Ester **3a** was not substrate of lipases, but in the presence of Horse liver esterase (HLE) or Pig liver esterase (PLE) a fast hydrolysis was observed However these reactions were not regioselective and we have obtained the two possible regioisomeric acids (see table 2)



$R_1=R_2=\text{Me}$	(R,S)- 3a	(R or S)- 3a	(S or R)- 7a	(S or R)- 2a
$R_1=\text{Me}, R_2=\text{CH}_2\text{CN}$	(R,S)- 4a	(S)- 4a	(R)- 10	(R,S)- 2a
$R_1=\text{CH}_2\text{CN}, R_2=\text{Me}$	(R,S)- 8a	(R,S)- 8a	(S)- 7a	(R)- 11
$R_1=\text{Me}, R_2=i\text{Pr}$	(R,S)- 5	(S)- 5	(R)- 12	(R)- 2a

Table 2 Esterase hydrolyses of esters **3a, 4a, 5** and **8 a**

Substrat	Reaction conditions			Remaining diester			Products					
	Enzyme	Time (h)	Conversion (%)	Yield (%)	ee (%)	Conf	Yield (%)	α/β	α -acid		β -acid	
									ee (%)	Conf	ee (%)	Conf
(R,S)- 3a	PLE	2 0	60	35	40	R	55	37/63	24	S	18	S
(R,S)- 3a	HLE	2 5	40	55	40	S	35	46/54	66	R	48	R
(R,S)- 4a	HLE	2 5	70	25	25	S	65	23/77	25	R	0	-
(R,S)- 8a	HLE	3 0	60	35	0	-	55	33/67	25	S	13	R
(R,S)- 5	HLE	4 3	80	20	61	S	75	80/20	24	R	19	R

Determinations of absolute configurations and ee's are explained in the experimental section

HLE hydrolyzed mainly the R-isomer of **3a** and PLE the S-isomer. In this HLE-catalyzed reaction, each acid results indiscriminately from the hydrolysis of the two enantiomers since the hydrolysis of (R)-**3a** (prepared by reaction of diazomethane with (R)-**2a** obtained in the LP-hydrolysis) gave the same ratio of the two regioisomers (R)-**2a** and (R)-**7a**. With these two esterases, the enantioselectivity for hydrolysis of the ester function is greater in α than in β of the chiral center.

In order to increase the regio and the enantioselectivity of the HLE-catalyzed hydrolysis we have examined the behaviour of esters **4a**, **8a** and **5**, where the two ester functions are different (see table 2). The hydrolysis of 4-cyanomethyl 1-methyl succinate (R,S)-**4a** in the presence of HLE occurred faster on the cyanomethyl ester. No enantioselectivity was observed in the cleavage of this cyanomethyl ester function. On the other hand, the hydrolysis of the more hindered methyl ester group was enantioselective. The hydrolysis of 1-cyanomethyl 4-methyl succinate (R,S)-**8a** led to the remaining diester devoid of optical activity. This is the result of the low regioselectivity of the reaction and of the *unusual reverse in absolute configuration during the hydrolysis of the cyanomethyl ester function*. Despite the presence of the carbocyanomethoxy group, the carbomethoxy ester group was preferentially hydrolyzed. The presence of the larger isopropyl group in 4-isopropyl 1-methyl succinate **5** favored the reaction at the hindered 1-ester function but the regioselectivity was low. The enantioselectivity of the hydrolysis of the two ester functions was low and no significant improvement of the ee of the remaining ester was observed.

In conclusion the absence of regioselectivity in the esterase-catalyzed hydrolyses of veratryl- and (3-methoxybenzyl)succinates make these reactions synthetically useless. However for the first time we have observed that the substitution of a methyl group by a cyanomethyl one inverses the HLE enzymatic recognition of the chiral center. Besides a good enantioselective resolution was obtained by *Pseudomonas* lipase-catalyzed hydrolysis of the corresponding 4-cyanomethyl 1-methyl esters leading to the R-hemiesters necessary to synthesize chiral natural products.

Experimental section

Nuclear magnetic resonance spectra were recorded on a Bruker AM 250. All chemical shifts were reported in ppm in deuteriochloroform. IR spectra were recorded on a Perkin-Elmer 682 spectrometer. GC-MS was carried out on a Nermag R10-10 (70 eV). Rotations were determined on a Perkin-Elmer 240 polarimeter. The lipase P from *Pseudomonas* species was obtained from Amano (30 units per mg). Porcine pancreas lipase, used in crude form (steapsin), Horse liver esterase and Pig liver esterase, used as acetone powders, were obtained from Sigma. All reactants and solvents were purified and distilled before use.

Preparation of racemic dimethyl (3,4-dimethoxybenzyl)succinate **3a**.

To a methanolic solution (50 mL) of veratrylsuccinic acid 1-methyl ester **2a** **2d** (5g, 17.7 mmol) were added five drops of thionyl chloride. After one night at reflux the methanol was distilled. Water (30 mL) was added to the residue and the mixture was extracted with ether (3 x 30 mL). The organic phase was washed with aqueous 5% NaHCO₃ (20 mL).

and dried (Na_2SO_4) The solvent was removed and the crude product distilled. bp 154 °C (0.05 mm Hg). Yield: 4.0 g (76%)

Anal Calcd. for $\text{C}_{15}\text{H}_{20}\text{O}_6$, % C, 60.78, H, 6.81 Found % C, 60.93; H, 6.75

$^1\text{H NMR}$ (CDCl_3), δ (ppm) 6.75 (d, J=7 Hz, 1H), 6.66 (d, J=7 Hz, 1H), 6.63 (d, J=2 Hz, 1H), 3.72 (s, 3H); 3.71 (s, 3H), 3.63 (s, 3H), 3.60 (s, 3H), 3.15-2.88 (m, 2H), 2.72-2.52 (m, 2H), 2.36 (dd, J=13.5 and 4.5 Hz, 1H)

IR (neat) (cm^{-1}) 1740 (vs), 1610 (w), 1600 (m), 1520 (s)

MS m/e (rel int %) 296 (M^+ , 31); 236 (8); 233 (7), 222 (14), 191 (8), 177 (6), 152 (10); 151 (100), 107 (8), 91 (8)

Preparation of racemic 4-cyanomethyl 1-methyl 2-(3,4-dimethoxybenzyl)succinate 4a.

A mixture of triethylamine (3.4 g, 30 mmol) and chloroacetonitrile (2.54 g, 40 mmol) was added to a methylene chloride solution (10 mL) of veratrylsuccinic acid 1-methyl ester **2a** ^{2b} (2.82 g, 10 mmol), maintained at 0 °C After one night at room temperature the mixture was poured into water (20 mL) After ether extraction (3 x 20 mL), the organic phase was washed with 0.5 M hydrochloric acid solution (10 mL), 10% aqueous sodium bicarbonate solution (10 mL) and water (10 mL) After drying (Na_2SO_4) and evaporation the residue was purified by silica gel column chromatography (ether) Yield 2.7 g (96%)

Anal Calcd for $\text{C}_{16}\text{H}_{19}\text{O}_6\text{N}$, % C, 59.81, H, 5.92, O, 29.90, N, 4.36 Found % C, 59.60, H, 5.93, O, 30.17, N, 4.30

$^1\text{H NMR}$ (CDCl_3), δ (ppm) 6.81 (d, J=10 Hz, 1H), 6.69 (dd, J=10 and 3 Hz, 1H), 6.67 (d, J=3 Hz, 1H), 4.69 and 4.67 (AB syst, J=16 Hz, 2H), 3.90 (s, 3H), 3.85 (s, 3H); 3.72 (s, 3H), 3.22-3.12 (m, 2H), 2.82-2.66 (m, 2H), 2.55-2.40 (m, 1H)

IR (neat) (cm^{-1}) 1760 (s); 1740 (s); 1610 (w), 1595 (m), 1520 (s)

MS m/e (rel int %) 321 (M^+ , 19), 181 (15), 151 (100), 106 (6), 91 (7)

Preparation of 2-(3-methoxybenzylidene)succinic acid 1-methyl ester 1b.

The previously described procedure for the preparation of 2-(3,4-dimethoxybenzylidene)succinic acid 1-methyl ester was used ^{2b} Starting from 0.1 mole of 3-methoxybenzaldehyde we obtained **1b** as an oil Yield 11.5 g (84%)

$^1\text{H NMR}$ (CDCl_3), δ (ppm) 11.3 (broad s, 1H), 7.80 (s, 1H), 7.40-7.15 (m, 1H), 6.90-6.75 (m, 3H), 3.80 (s, 3H), 3.75 (s, 3H), 2.60 (m, 2H)

IR (CCl_4) (cm^{-1}) 1740 (s), 1720 (s), 1640 (m), 1610 (m)

MS m/e (rel int %) 250 (M^+ , 23), 218 (11), 206 (16), 174 (30); 147 (38), 146 (100), 145 (36), 131 (22), 115 (34), 103 (39), 102 (19), 91 (19); 77 (41)

Preparation of racemic 2-(3-methoxybenzyl)succinic acid 1-methyl ester 2b.

The butenoic acid **1b** was hydrogenated in methanol in the presence of Palladium-charcoal (10%) (5 h under atmospheric pressure) using the procedure published for the preparation of acid **2a** ^{2b} Yield 95% This hemiester was a solid (prisms), m.p.=77-78 °C

$^1\text{H NMR}$ (CDCl_3), δ (ppm) 8.70 (broad s, 1H), 7.30-7.10 (m, 1H), 6.85-6.65 (m, 3H), 3.80 (s, 3H), 3.70 (s, 3H), 3.20-3.00 (m, 2H), 2.84-2.60 (m, 2H), 2.45 (dd, J=20 and 6 Hz, 1H)

IR (CCl_4) (cm^{-1}) 1745 (s) 1720 (s)

MS m/e (rel int %) 252 (M^+ , 72), 193 (38), 192 (100), 175 (83), 161 (69), 147 (55), 121 (83), 91 (66)

Preparation of racemic dimethyl (3-methoxybenzyl)succinate 3b.

The procedure described for the preparation of succinate 3a was used Ester 3b, obtained from hemiester 2b, was purified by silica gel column chromatography (ether-hexane) Yield 80% (oil)

$^1\text{H NMR}$ (CDCl_3), δ (ppm) 7.29-7.16 (m, 1H), 6.80-6.68 (m, 3H), 3.80 (s, 3H), 3.70 (s, 3H), 3.65 (s, 3H); 3.23-2.98 (m, 2H), 2.82-2.59 (m, 2H), 2.43 (dd, $J = 16$ and 4 Hz, 1H)

IR (CCl_4) (cm^{-1}) 1735 (vs), 1590 (s)

MS m/e (rel int. %) 266 (M^+ , 76), 206 (98), 203 (33), 193 (44), 192 (25), 175 (69), 161 (100); 147 (53), 91 (57), 77 (31)

Preparation of racemic 4-cyanomethyl 1-methyl 2-(3-methoxybenzyl)succinate 4b.

4b was prepared according to the procedure described for 4a Starting from hemiester 2b, ester 4b was isolated as an oil after silica gel column chromatography (ether) Yield 90%

$^1\text{H NMR}$ (CDCl_3), δ (ppm) 7.32 (m, 1H), 6.95-6.75 (m, 3H), 4.68 and 4.66 (AB syst., $J = 16$ Hz, 2H); 3.81 (s, 3H), 3.72 (s, 3H); 3.28-2.98 (m, 2H), 2.85-2.62 (m, 2H), 2.55-2.40 (m, 1H)

IR (CCl_4) (cm^{-1}) 1740 (s), 1720 (s), 1605 (m), 1590 (m)

MS m/e (rel int. %) 291 (M^+ , 100), 231 (50), 175 (46), 161 (47), 121 (99), 91 (75)

Preparation of racemic 4-isopropyl 1-methyl 2-(3,4-methoxybenzyl)succinate 5.

To veratrylsuccinic acid 1-methyl ester 2a (1g, 3.54 mmol) was added slowly an ethereal solution of diazopropane 5 until persistence of the red color The solution was dried (Na_2SO_4) and after complete decolorization, was concentrated under vacuum. The mixed ester 5 was used without purification for the enzymatic reaction Yield 98%.

Anal. Calcd for $\text{C}_{17}\text{H}_{24}\text{O}_6$, % C, 62.96, H, 7.40, O, 29.63. Found % C, 63.14, H, 7.29, O, 29.52.

$^1\text{H NMR}$ (CDCl_3), δ (ppm) 6.80 (d, $J = 8$ Hz, 1H), 6.70 (d, $J = 8$ Hz, 2H), 5.00 (septuplet, $J = 5$ Hz, 1H), 3.98 (s, 3H), 3.97 (s, 3H), 3.68 (s, 3H), 3.28-2.94 (m, 2H), 2.78-2.58 (m, 2H), 2.38 (dd, $J = 15$ and 5 Hz, 1H)

IR (neat) (cm^{-1}) 1735 (vs), 1650 (w), 1595 (w)

MS m/e (rel int. %) 324 (M^+ , 62), 265 (18), 222 (28), 205 (11), 191 (13), 152 (11), 151 (100)

Preparation of 2-(3,4-dimethoxybenzylidene)succinic acid 4-methyl ester 6a.

The unsaturated monoester 1a (10 g, 35 mmol) was treated 24 hours at room temperature with 1N NaOH (100 mL) and ethanol (100 mL) The solution was extracted with ether, acidified and extracted with ether (4 x 60 mL). After concentration the residue was treated with boiling acetic anhydride (150 mL) and concentrated A methanolic solution (150 mL) of the crude anhydride was refluxed 6 hours and concentrated The residue was taken up in 10% NaHCO_3 , acidified and extracted with ether (3 x 50 mL) leading to monoester 6a after concentration. Yield. 9g, 90%.

$^1\text{H NMR}$ (CDCl_3), δ (ppm) 7.98 (s, 1H), 7.08-6.90 (m, 3H), 3.95 (s, 3H), 3.91 (s, 3H), 3.77 (s, 3H), 3.65 (s, 2H)

$^{13}\text{C NMR}$ (CDCl_3), δ (ppm) 173.5, 171.2, 150.2, 148.8, 144.2, 127.5, 123.0, 122.9, 112.5, 111.0, 55.9, 52.2,

33.5

IR (neat) (cm^{-1}) 1740 (s, $\nu_{\text{C=O}}$ ester), 1686 (s, $\nu_{\text{C=O}}$ acid)

Preparation of racemic 2-(3,4-dimethoxybenzyl)succinic acid 4-methyl ester 7a.

Starting from the unsaturated monoester 6a, the procedure described for the preparation of hemiester 2b was used Yield 93%

Anal Calcd for $C_{14}H_{18}O_6$, % C, 59.57, H, 6.42, O, 34.00. Found % C, 59.97, H, 6.10, O, 33.72

1H NMR ($CDCl_3$), δ (ppm) 6.84-6.67 (m, 3H), 3.88 (s, 3H), 3.87 (s, 3H), 3.87 (s, 3H), 3.23-3.04 (m, 2H), 2.82-2.60 (m, 2H), 2.50-2.38 (m, 1H)

^{13}C NMR ($CDCl_3$), δ (ppm) 180.0, 172.3, 149.0, 147.9, 130.4, 121.2, 112.1, 111.3, 55.8, 51.7; 42.8, 38.9, 34.3

IR (neat) (cm^{-1}) 1740 (s, ν_{CO} ester), 1715 (s, ν_{CO} acid)

MS *m/e* (rel int. %) 191 (3), 178 (2), 152 (11), 151 (100)

Preparation of racemic 1-cyanomethyl 4-methyl 2-(3,4-dimethoxybenzyl)succinate 8a.

The procedure described for the synthesis of ester 4a was used. Starting from the monoester 7a, ester 8a was isolated as an oil after silica gel column chromatography (ether). Yield 85%

Anal Calcd for $C_{16}H_{19}O_5N$, % C, 59.81, H, 5.92, O, 29.90, N, 4.36. Found % C, 59.85, H, 5.96, O, 29.76, N, 4.60

1H NMR ($CDCl_3$), δ (ppm) 6.85-6.77 (m, 1H), 6.73-6.64 (m, 2H), 4.73 and 4.70 (AB syst, $J = 16$ Hz, 2H), 3.90 (s, 3H), 3.88 (s, 3H), 3.68 (s, 3H), 3.22-2.95 (m, 2H); 2.84-2.62 (m, 2H), 2.60-2.40 (m, 1H)

IR (CCl_4) (cm^{-1}) 1735 (vs), 1590 (s)

MS *m/e* (rel int. %) 291 (100), 231 (53), 192 (32), 161 (70), 121 (94), 91 (86)

Preparation of (R)-(+)-3-(3-methoxybenzyl)- γ -butyrolactone 9.

The potassium salt (R)-2c of 2-(3-methoxybenzyl)succinic acid 1-methyl ester (R)-2b (ee = 82%) was prepared by addition of an aqueous potassium hydroxide solution (40%) until basic to phenolphthalein. Evaporation of the solvent under high vacuum gave an oil.

Calcium chloride (0.43 g, 3.9 mmol) was dissolved in methanol (40 mL) and cooled to -10 °C. Sodium borohydride (0.36 g, 9.36 mmol) was added in 30 minutes at this temperature followed by the solution of the potassium salt 2c (prepared from 1 g of 2b, 3.9 mmol) in 5 mL of ethanol (in 30 minutes). The mixture was stirred 3 h at -10 °C and 2 h at room temperature. After acidification (pH 2) the solvents were evaporated, the residue was taken up by water (50 mL) and the product extracted with ether (5 x 20 mL). The organic phase was dried (Na_2SO_4) and concentrated to give the lactone 9 which was purified by silica gel column chromatography (ether). Yield 0.660 g (80%) [α] $_D^{25} = +5.2^\circ$ (c = 2, $CHCl_3$, ee = 82%), lit.⁴ [α] $_D^{25} = +6.4^\circ$ (c = 1.2, $CHCl_3$, ee = 100%)

1H NMR ($CDCl_3$), δ (ppm) 7.25 (m, 1H), 6.68 (m, 3H), 4.15 (dd, $J = 9$ and 6.3 Hz, 1H), 4.04 (dd, $J = 9$ and 6.2 Hz, 1H), 3.02 (s, 3H), 2.95-2.80 (m, 1H), 2.75 (m, 2H), 2.62 (dd, $J = 18.6$ and 7.5 Hz, 1H), 2.30 (dd, $J = 16.7$ and 7.5 Hz, 1H). IR (neat) (cm^{-1}) 1770 (s, ν_{CO} lactone)

General procedure of enzyme-catalyzed hydrolysis of esters.

PPL, HLE and PLE were used as received. Lipase Amano P (1.75 g) was suspended in water (10 mL) containing 0.45 M $CaCl_2$. The pH was adjusted to 7.2 by addition of 2 M NaOH aqueous solution. After 5 minutes stirring, the heterogeneous mixture was centrifuged and the solution was taken for hydrolyses.

The mixture of the ester (0.5 g) in water (10 mL) containing 0.45 M $CaCl_2$ and the enzyme (1.75 g for LP, 0.5 g for PPL and 1 g for HLE and PLE) was maintained at pH 7.2 by addition of 2 M NaOH until one equivalent of base was consumed (pH stat). Then 1 g of Celite was added and the mixture was filtered. Ether extraction of the aqueous phase and of the Celite cake (3 x 20 mL) removed the remaining ester. The aqueous phase and the Celite were acidified (pH 2) and extracted with ether (3 x 20 mL). The ethereal phases were dried (Na_2SO_4) and respectively evaporated to give the ester and the acid fractions.

Determination of ee's and absolute configurations in the esterase-catalyzed hydrolyses

The enantiomeric excesses of the esters were determined by ^1H NMR (solvent: CDCl_3) in the presence of $\text{Eu}(\text{hfc})_3$. We needed ~40% (in mole) of $\text{Eu}(\text{hfc})_3$ for all esters studied but esters 5a, 8a for which 100% $\text{Eu}(\text{hfc})_3$ were used. In the case of ester 5a, determinations were made in C_6D_6 .

The α/β acid ratios were determined on the mixture by ^1H -NMR. The absolute configuration of the major enantiomer of ester (R or S)-3a was deduced from its optical rotation sign. Methylation (CH_2N_2) of the acid 2a, 7a mixtures gave the dimethyl esters whose ee's and absolute configurations, determined by NMR, were respectively 52% (R) for HLE and 20% (S) for PLE-catalyzed reactions; these mean values indicate in each case a similar configuration for the acids 2a and 7a which is obviously opposite to that of the remaining ester 3a. Moreover the ee's of acids 2a and 7a were determined, after reaction with 2-diazopropane, on the corresponding mixture of isopropyl methyl esters by ^1H -NMR ($\text{Eu}(\text{hfc})_3, \text{C}_6\text{D}_6$).

The enantiomeric excesses and absolute configurations of acids 2a, 3a, 7a, (R)-10 and (R)-11 were determined by ^1H -NMR after transformation into the dimethyl ester (CH_2N_2). The remaining ester of the HLE-catalyzed hydrolysis of cyanomethyl ester (R,S)-8a was racemic; this implies that the S configuration of acid 7a is opposite to the configuration of acid 11. The absolute configuration of ester 5 was attributed by comparison of its ^1H -NMR spectrum, in the presence of $\text{Eu}(\text{hfc})_3$, with that of the ester obtained from a sample of acid 2a of known configuration (diazopropane). The same procedure was used with the acid 12 after reaction with diazomethane.

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- 8) The cyanomethyl ester groups of (S)-4a, b could be selectively removed by PLE-catalyzed hydrolyses without any change of ee. The hemiesters so obtained could be used for further transformations or racemization.^{3a}
- 9) After a second LP-catalyzed hydrolysis of ester (S)-4a ($[\alpha]_D = -5.0^\circ$) run to 80% conversion, the $[\alpha]_D$ of the remaining ester was risen to -7.7° .
- 10) We have not been able to determine the ee of (S)-4a by ^1H -NMR in the presence of $\text{Eu}(\text{hfc})_3$.